

Report to Audit Committee

Subject: Internal Audit Progress Report 2018/19

Date: 19 March 2019

Author: Chris Williams – Head of Internal Audit (RSM)

1. Purpose of the Report

To summarise the outcome of the internal audit activity completed by the RSM Internal Audit Team for the period December 2018 to March 2019.

Recommendations:

That Members:

- receive the report and note actions taken or to be taken.

2. Background

The internal audit plan 2018/19 was approved by Audit Committee on 20 March 2018. This report provides a summary update on all the final reports issued by RSM in the period December 2018 to March 2019 and highlights associated key findings and any concerns identified in any work in progress.

3. Proposal

The reports and findings considered at this Audit Committee are detailed in the appendix and include:

2018/19 Reports

- 10.18/19 - NNDR;
- 11.18/19 - Health and Safety;
- 12.18/19 - Grounds Maintenance, Parks and Open Spaces;
- 13.18/19 - Landlord Licensing;
- 14.18/19 - Development Management;
- 16.18/19 - Risk Management; and

- 17.18/19 - General Data Protection Regulation (GDPR) Governance;
and
- IT Review – Ethical Phishing Campaign

4. Resource Implications

The internal audit plan is delivered within the approved budgets.

5. Appendices

RSM Internal Audit Progress Report.